99050035010000, 99050035010000

Authorisation for insurance intermediaries Exemption

Modul	Sachverhalt
Leistungsschlüssel	99050035010000, 99050035010000
Leistungsbezeichnung I	Authorisation for insurance intermediaries Exemption
Leistungsbezeichnung II	
Typisierung	2/3 - Bund: Regelung (2 oder 3), Land/Kommune: Vollzug
Quellredaktion	Niedersachsen
Freigabestatus Katalog	unbestimmter Freigabestatus
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Gewerbe (050)
Verrichtungskennung	Befreiung (010)
SDG-Informationsbereich	
Lagen Portalverbund	Anmeldepflichten (2010100), Erlaubnisse und Genehmigungen (2010400)

Modul	Sachverhalt
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	13.09.2018
Fachlich freigegen durch	Lower Saxony Ministry of Economic Affairs, Labour and Transport
Handlungsgrundlage	http://www.gesetze-im-internet.de/gewo/__34d.html http://www.gesetze-im-internet.de/gewo/__34d.html
Teaser	
Volltext	Product-accessory insurance intermediaries may, upon application, be exempted from the authorisation requirement. Natural and legal persons (e.g. GmbHs) can apply for exemption from permission. Partnerships require a separate exemption from permission for each managing partner. Product-accessory insurance intermediaries who are exempt from the licensing requirement must nevertheless register and register in the intermediary register. http://www.vv-register.de/ http://www.vv-register.de/
Erforderliche Unterlagen	• completed application form • Copy of identity card or comparable identification document • for proof of entrepreneurial legal form: • Company headquarters in Germany: • for companies entered in a register: extract from the commercial register or the partnership register • otherwise a copy of the articles of association (e.g. in the case of a civil-law partnership (GbR)) • Company headquarters abroad: • Documents from the home country proving the legal form • Declaration by the client on the personal reliability, qualifications and orderly financial circumstances of the insurance intermediary/ • Proof of the existence of financial loss liability insurance (certificate from an insurance company licensed in Germany)

Modul	Sachverhalt
	In the case of legal entities (GmbH, corporate companies, AG, registered cooperatives), the application form only needs to be completed for **the legal** entity. All personal documents must be submitted for all natural persons authorised to manage the company (e.g. personal documents). An extract from the Central Trade Register is also required for the legal entity. **Partnerships** (GbR, KG, OHG, PartG, GmbH & Co. KG) are not eligible for permission as such. Therefore, every managing partner requires permission. For each of these persons, a completed application form and all personal documents must be submitted.
Voraussetzungen	• Insurance is only brokered **in addition to other products and services**. • Example: A car dealer also arranges car insurance when selling cars. • The activity as an insurance intermediary takes place **directly** • on behalf of one or more insurance intermediaries with permission, or • on behalf of one or more insurance undertakings. • **Proof of financial loss liability insurance** with the following requirements: • The minimum sum insured is 1.13 million euros per insured event or 1.7 million euros for all insured events per year. • The insurance is valid in all member states of the European Union (EU) as well as in the EEA states. • The client submits a **declaration** about: • personal reliability, • the qualification and • the orderly financial circumstances of the insurance intermediary.
Kosten	Fees are payable in accordance with the fee regulations of the competent authority.
Verfahrensablauf	
Bearbeitungsdauer	

Modul	Sachverhalt
Frist	There are no deadlines to be observed. However, the activity may not be commenced until the exemption has been granted.
weiterführende Informationen	
Hinweise	
Rechtsbehelf	
Kurztext	Product-accessory insurance intermediaries may, upon application, be exempted from the authorisation requirement.
Ansprechpunkt	The responsibility lies with the Chamber of Industry and Commerce (IHK), in whose district the business has its registered office. This procedure can also be carried out through a "Point of Single Contact". The "Point of Single Contact" is a special service offered by municipalities and the state for service providers. https://service.niedersachsen.de/dlp/ea https://service.niedersachsen.de/dlp/ea
Zuständige Stelle	
Formulare	
Ursprungsportal	Erlaubnis für Versicherungsvermittlerinnen/Versicherungsvermittler Befreiung, Authorisation for insurance intermediaries Exemption