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Take the expert examination for fee-based financial investment advisors

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<https://fimportal.de/xzufi-services/219268316/L100038>

Modul	Sachverhalt
Leistungsschlüssel	99050151007000, 99050151007000
Leistungsbezeichnung I	Take the expert examination for fee-based financial investment advisors
Leistungsbezeichnung II	
Typisierung	2/3 - Bund: Regelung (2 oder 3), Land/Kommune: Vollzug
Quellredaktion	Thüringen
Freigabestatus Katalog	unbestimmter Freigabestatus
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Gewerbe (050)
Verrichtungskennung	Zulassung (007)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder

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	Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	Aus-, Weiterbildung und Sachkunde (2030300), Befähigungs- und Sachkundenachweise (2010200), Prüfung und Nachweise für Sachkunde und Sicherheit (2120300)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	
Fachlich freigegeben durch	
Handlungsgrundlage	https://www.gesetze-im-internet.de/gewo/_34h.html https://www.gesetze-im-internet.de/finvermv/_1.html https://www.gesetze-im-internet.de/gewo/_34h.html https://www.gesetze-im-internet.de/finvermv/_1.html
Teaser	In order to obtain permission to work as a fee-based financial investment advisor, you must provide evidence of the necessary expertise.
Volltext	If you wish to advise on financial investments or provide investment advice on a commercial basis in return for a fee from the client, you must provide proof of your expertise in order to obtain a license. You can do this by taking the IHK examination. Depending on the product category, the examination is divided into three areas: - Open financial investments - Closed-end financial investments - Investments The examination consists of a written and a practical part. In the written part of the examination, you must demonstrate the necessary legal and technical knowledge, for example with regard to consumer rights and technical terms. In the practical part, you must conduct a simulated customer consultation. You do not have to meet any formal requirements to register for the exam, but you should prepare intensively for the content in order to take it successfully. The content of the examination for financial investment brokers corresponds to the examination for fee-based financial investment advisors. If you have completed vocational training or

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	have a degree in banking and finance, this may be recognized instead of the examination.
Erforderliche Unterlagen	Official photo ID for identification during the examination.
Voraussetzungen	No special requirements
Kosten	Costs are incurred. The exact amount can be found in the fee schedule of the local IHK.
Verfahrensablauf	• You can only take part in an examination after prior registration. • The IHK will inform you in good time before the examination about the details of the examination procedure, place and time. • Once you have passed the written examination, you will take the practical examination. • You will receive a certificate after successfully passing the exam.
Bearbeitungsdauer	You will regularly receive the certificate within a few days of passing the exam.
Frist	• Please note the registration deadlines of your local IHK • The passed examination is valid for life
weiterführende Informationen	§ Section 4 of the Financial Investment Brokerage Ordinance (FinVermV) lists the professional qualifications that are equivalent to the expert examination. The list is exhaustive. You can find further information on the examination of professional competence on the DIHK website: Overview of professional competence examinations of the Chambers of Industry and Commerce
Hinweise	
Rechtsbehelf	Objection. Details can be found in the pass/fail notification for the examination.
Kurztext	- Examination of expertise for fee-based financial investment advisors License - Examination required for authorization as a fee-based financial investment advisor - Written and practical examination - Responsible: Chamber of Industry and Commerce (IHK) - Repeat examination possible if failed - Issue of a

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	certificate after successful examination
Ansprechpunkt	
Zuständige Stelle	You can find the Chamber of Industry and Commerce responsible for you here: IHK competence finder
Formulare	• Registration form according to IHK specifications • Online procedure: no • Written form: no • Personal appearance required: yes, for the examination
Ursprungsportal	Sachkundeprüfung für Honorar-Finanzanlagenberater ablegen, Take the expert examination for fee-based financial investment advisors