

99050094169000, 99050094169000

# Foreign financial investment intermediaries and financial investment advisors activity show

Heruntergeladen am 19.05.2025

<https://fimportal.de/xzufi-services/214196964/L100038>

Modul	Sachverhalt
Leistungsschlüssel	99050094169000, 99050094169000
Leistungsbezeichnung I	Foreign financial investment intermediaries and financial investment advisors activity show
Leistungsbezeichnung II	
Typisierung	2/3

Modul	Sachverhalt
Handlungsgrundlage(n)	<ul style="list-style-type: none"> <li>- <a href="http://www.gesetze-im-internet.de/gewo/_13a.html">http://www.gesetze-im-internet.de/gewo/_13a.html</a></li> <li>- <a href="http://www.gesetze-im-internet.de/gewo/_13b.html">http://www.gesetze-im-internet.de/gewo/_13b.html</a></li> <li>- <a href="http://www.gesetze-im-internet.de/gewo/_13c.html">http://www.gesetze-im-internet.de/gewo/_13c.html</a></li> <li>- <a href="http://www.gesetze-im-internet.de/finvermv/index.html">http://www.gesetze-im-internet.de/finvermv/index.html</a></li> <li>- <a href="http://www.gesetze-im-internet.de/gewo/_13a.html">http://www.gesetze-im-internet.de/gewo/_13a.html</a></li> <li>- <a href="http://www.gesetze-im-internet.de/gewo/_13b.html">http://www.gesetze-im-internet.de/gewo/_13b.html</a></li> <li>- <a href="http://www.gesetze-im-internet.de/gewo/_13c.html">http://www.gesetze-im-internet.de/gewo/_13c.html</a></li> <li>- <a href="http://www.gesetze-im-internet.de/finvermv/index.html">http://www.gesetze-im-internet.de/finvermv/index.html</a></li> </ul>
Teaser	If you wish to work temporarily as a foreign financial investment intermediary and advisor in Germany, you must notify the competent authority.
Volltext	As a financial investment broker and advisor, you need a permit in Germany. If you wish to act as a financial investment intermediary on a self-employed basis only on a temporary basis, prior written notification to the competent authority is sufficient. However, this only applies to EU or EEA citizens.
Begriffe im Kontext	
Bearbeitungsdauer	
Fristen	
Formulare + Objekt Formular	
Kurztext	<ul style="list-style-type: none"> <li>* Foreign financial investment intermediary and financial investment advisor advertisement               <ul style="list-style-type: none"> <li>* In order to be allowed to work as a financial investment intermediary and financial investment advisor in Germany, a permit is required. For the temporary exercise of the activity as a financial investment intermediary, a prior written notification to the competent authority is sufficient. However, this only applies to EU or EEA citizens.</li> <li>* Required documents:                   <ul style="list-style-type: none"> <li>* Proof of citizenship (e.g. by identity card or passport).</li> <li>* Proof of legal establishment to carry out the financial investment intermediary activities in a member state of the EU or a contracting state of the Agreement on the European Economic Area</li> <li>* Proof that the exercise of these activities is not prohibited, even temporarily</li> <li>* Proof that there are no previous convictions</li> <li>* Documents from the country of establishment proving personal reliability to practice the financial investment</li> </ul> </li> </ul> </li> </ul>

intermediary trade,

\* proof of your professional qualifications, if the activity of financial investment intermediary is also subject to the possession of certain professional qualifications in the country of establishment,

\* otherwise, proof that you have practiced a financial investment intermediary profession in the state of establishment for at least two years during the previous ten years,

\* proof of insurance coverage or other type of individual or collective protection in relation to professional liability.

\* Fees apply.

## weiterführende Informationen

<b>Hinweise (Besonderheiten)</b>	The permit is valid throughout Germany. It may be limited to one or more categories. Under certain conditions, the competent body may revoke a permit.
----------------------------------	--

## Rechtsbehelf

<b>fachlich durch</b>	<b>freigegeben</b>	Thuringian Ministry for Economy, Science and Digital Society
-----------------------	--------------------	--

<b>fachlich am</b>	<b>freigegeben</b>	07.02.2023
--------------------	--------------------	------------

<b>Lagen Portalverbund</b>	Erlaubnisse und Genehmigungen (2010400), Anmeldepflichten (2010100)
----------------------------	---

## zuständige Stelle

## Ansprechpunkt