

99021004001000, 99021004001000

Apply for admission of securities to trading on the stock exchange

Heruntergeladen am 10.06.2025

<https://fimportal.de/xzufi-services/118460496/L100027>

Modul	Sachverhalt
Leistungsschlüssel	99021004001000, 99021004001000
Leistungsbezeichnung I	Apply for admission of securities to trading on the stock exchange
Leistungsbezeichnung II	Securities for exchange trading - apply for admission
Typisierung	2/3 - Bund: Regelung (2 oder 3), Land/Kommune: Vollzug
Quellredaktion	Mecklenburg-Vorpommern
Freigabestatus Katalog	unbestimmter Freigabestatus
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Börsenangelegenheiten (021)
Verrichtungskennung	Erteilung (001)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens

Modul	Sachverhalt
Lagen Portalverbund	
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	28.09.2021
Fachlich freigegeben durch	Ministry of Finance Mecklenburg-Western Pomerania
Handlungsgrundlage	https://www.gesetze-im-internet.de/b_rsg_2007/_32.html https://www.gesetze-im-internet.de/b_rsg_2007/_32.html
Teaser	Securities to be traded on the stock exchange must be admitted.
Volltext	Securities that are to be traded on the regulated market of a stock exchange require admission or inclusion by the management.
Erforderliche Unterlagen	• Certificate of approval by the German Federal Financial Supervisory Authority (BaFin) • Copy of the global certificate • Articles of association or partnership agreement in the currently valid version • Certified excerpt from the Commercial Register in the latest version • Proof(s) of the legal basis of the securities issue • Annual financial statements and management reports for the last three fiscal years, including the auditors' reports
Voraussetzungen	• The application for admission must be submitted to the Exchange by the issuer of the securities together with a credit institution, financial services institution or a company operating pursuant to § 53 para. 1 sentence 1 or § 53b para. 1 sentence 1 of the German Banking Act. • The applicant must submit a prospectus approved or notified by the German Federal Financial Supervisory Authority (BaFin), which must be published before the security is listed on the stock exchange. • The issuer must have existed as a company for at least three years and have disclosed its financial statements for the three financial years preceding the

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	application.
Kosten	
Verfahrensablauf	The issuer of the securities, if applicable together with a credit institution, financial services institution or a company operating pursuant to § 53 para. 1 sentence 1 or § 53b para. 1 sentence 1 of the German Banking Act, must apply to the Exchange for the admission of securities. The announcement of the admission shall be made by the Management Board of the Exchange in the Federal Gazette at the expense of the applicants.
Bearbeitungsdauer	
Frist	
weiterführende Informationen	
Hinweise	
Rechtsbehelf	
Kurztext	• Admission of securities to trading on the stock exchange Issuance • Securities to be traded on the stock exchange must be admitted • responsible: Stock Exchange Management
Ansprechpunkt	
Zuständige Stelle	
Formulare	
Ursprungsportal	Apply for admission of securities to trading on the stock exchange, Zulassung von Wertpapieren zum Börsenhandel beantragen