

99050100022000

Financial investment broker, take the exam

Heruntergeladen am 03.07.2025

<https://fimportal.de/xzufi-services/6002277-99050100022000/L100009>

Modul	Sachverhalt
Leistungsschlüssel	99050100022000
Leistungsbezeichnung I	Financial investment broker, take the exam
Leistungsbezeichnung II	Financial investment broker, take the exam
Typisierung	2/3 - Bund: Regelung (2 oder 3), Land/Kommune: Vollzug
Quellredaktion	Sachsen
Freigabestatus Katalog	unbestimmter Freigabestatus
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	
Leistungsgruppierung	
Verrichtungskennung	
SDG-Informationsbereich	
Lagen Portalverbund	
Einheitlicher	

Modul	Sachverhalt
Ansprechpartner	
Fachlich freigegeben am	
Fachlich freigegeben durch	
Handlungsgrundlage	• § 1 Verordnung über die Finanzanlagenvermittlung (FinVermV) • § 34f Absatz 2 Nr. 4 Gewerbeverordnung (GewO) - Finanzanlagenvermittler
Teaser	In order to be authorised to work as a financial investment broker, you must successfully complete the IHK (Chamber of Industry and Commerce) examination.
Volltext	Examination of expertise as a financial investment broker in accordance with Section 1 of the Ordinance on Financial Investment Brokerage (FinVermV) In order to be authorised to work as a financial investment broker, you must successfully complete the IHK (Chamber of Industry and Commerce) examination. The examination consists of a practical and a written part and is divided into three sections depending on the product category: • open financial investments • closed-end financial investments • investments Note: The examination of expertise for financial investment brokers corresponds in content to the examination of expertise for fee-based financial investment advisors. If you have completed vocational training or have a degree in banking and finance, this may be recognised instead of the examination. Tip: In order to be successful, you should prepare intensively for the content of the examination.
Erforderliche Unterlagen	• official photo ID for identification at the start of the examination

Modul	Sachverhalt
Voraussetzungen	none
Kosten	Procedural fee: Calculation according to the IHK fee schedule
Verfahrensablauf	Registration for the examination takes place at the local IHK: • the IHK will inform you in good time about the time and place and details of the examination procedure written examination • the written examination takes place first and comprises legal and technical knowledge, for example consumer rights and technical terms practical examination • after successfully passing the written exam, the practical exam follows, which includes a simulated customer counselling interview Once you have passed both parts of the examination, you will receive a certificate of competence.
Bearbeitungsdauer	They usually receive the certificate within a few days of successfully passing the examination.
Frist	Please note the registration deadlines of your local IHK. Proven expertise is valid indefinitely.
weiterführende Informationen	
Hinweise	
Rechtsbehelf	
Kurztext	
Ansprechpunkt	
Zuständige Stelle	
Formulare	

Modul

Sachverhalt

Ursprungsportal