

99089152019000, 99089152019000

Register as a service provider within the scope of money laundering supervision

Heruntergeladen am 31.05.2025

<https://fimportal.de/xzufi-services/121380312/L100002>

Modul	Sachverhalt
Leistungsschlüssel	99089152019000, 99089152019000
Leistungsbezeichnung I	Register as a service provider within the scope of money laundering supervision
Leistungsbezeichnung II	Register as a service provider within the scope of money laundering supervision
Typisierung	2/3 - Bund: Regelung (2 oder 3), Land/Kommune: Vollzug
Quellredaktion	Nordrhein-Westfalen
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	fachlich freigegeben (silber)
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Sicherheit und Ordnung (089)
Verrichtungskennung	Registrierung (019)

Modul	Sachverhalt
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	Eintragung in Register (2020100)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	26.09.2022
Fachlich freigegeben durch	Ministry of Economic Affairs, Industry, Climate Protection and Energy of the State of North Rhine-Westphalia
Handlungsgrundlage	§ Section 51 (5b) of the Money Laundering Act (GwG) https://www.gesetze-im-internet.de/gwg_2017/_51.html www.gesetze-im-internet.de/gwg_2017/_51.html https://www.gesetze-im-internet.de/gwg_2017/_51.html https://www.gesetze-im-internet.de/gwg_2017/_51.html
Teaser	Certain service providers who work for third parties must register with the supervisory authority. You can find out more here
Volltext	Service providers for companies and for fiduciary assets or trustees who do not also belong to the professions listed in Section 2 (1) Nos. 10 to 12 AMLA must register with the competent supervisory authority if they are not already subject to registration, entry, authorization or licensing under other provisions. This obligation only applies to service providers who provide one of the following services for third parties: a. Formation of a legal entity or partnership, b. Exercising the management or executive function of a legal entity or a partnership, exercising the function of a partner in a partnership or exercising a comparable function,

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- c. Provision of a registered office, a business, administrative or postal address and other related services for a legal entity, for a partnership or for a legal arrangement pursuant to section 3(3) AMLA,
- d. Exercising the function of a trustee for a legal arrangement pursuant to section 3 paragraph 3 AMLA,
- e. Exercising the function of a nominal shareholder for another person who is not a company listed on an organized market pursuant to Section 2 (11) of the German Securities Trading Act (Wertpapierhandelsgesetz) that is subject to the transparency requirements corresponding to Community law with regard to voting rights or equivalent international standards,
- f. Creation of the possibility for another person to exercise the functions referred to in letters b, d and e,

Note:

Unless authorized to do so under other provisions, the supervisory authority may remove members of the management and executive management of the obliged entity if there are reasonable grounds to believe that they do not possess the necessary suitability or reliability.

The supervisory authority may prohibit obliged entities where there are reasonable grounds to assume that the beneficial owner does not possess the necessary aptitude or reliability from providing the aforementioned services.

Erforderliche Unterlagen

Information on the type, scope and location of the activity by the responsible person

Voraussetzungen

- a. Formation of a legal entity or partnership,
- b. Exercising the management or executive function of a legal entity or a partnership, exercising the function of a partner in a partnership or exercising a comparable function,

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- c. Provision of a registered office, a business, administrative or postal address and other related services for a legal entity, for a partnership or for a legal arrangement pursuant to section 3(3) AMLA,
- d. Exercising the function of a trustee for a legal arrangement pursuant to section 3 paragraph 3 AMLA,
- e. Exercising the function of a nominal shareholder for another person who is not a company listed on an organized market pursuant to Section 2 (11) of the German Securities Trading Act (Wertpapierhandelsgesetz) that is subject to the transparency requirements corresponding to Community law with regard to voting rights or equivalent international standards,
- f. Creation of the possibility for another person to exercise the functions referred to in letters b, d and e

Kosten

none

Verfahrensablauf

- The obligated party notifies the supervisory authority of its specific activity.
- The supervisory authority confirms the registration.

Bearbeitungsdauer

Approx. 2 weeks

Frist

none

weiterführende Informationen
Hinweise
Rechtsbehelf
Kurztext

- Registration obligation for service providers in the context of money laundering supervision Registration
 - Obligated parties pursuant to Section 2 (1) no. 13 of the Money Laundering Act (GwG) must register with the supervisory authority, stating their specific activity, if they are not already subject to registration, entry, authorization or approval under other regulations.
 - Responsible: District regulatory authority

Ansprechpunkt

Modul	Sachverhalt
Zuständige Stelle	
Formulare	• Forms: no • Online procedure possible: yes • Written form required: no • Personal appearance required: no
Ursprungsportal	Als Servicedienstleisterin oder Servicedienstleister im Rahmen der Geldwäscheaufsicht registrieren, Register as a service provider within the scope of money laundering supervision