

99089151261000, 99089151261000

Appointment of a Group Money Laundering Officer Acceptance

Heruntergeladen am 25.05.2025

<https://fimportal.de/xzufi-services/121380310/L100002>

Modul	Sachverhalt
Leistungsschlüssel	99089151261000, 99089151261000
Leistungsbezeichnung I	Appointment of a Group Money Laundering Officer Acceptance
Leistungsbezeichnung II	Appoint or dismiss ("relieve") the Group Money Laundering Officer
Typisierung	1 - Bund: Regelung und Vollzug, 3 - Bundesaufsichtsverwaltung: Regelung
Quellredaktion	Nordrhein-Westfalen
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Sicherheit und Ordnung (089)
Verrichtungskennung	Entgegennahme (261)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und

Modul	Sachverhalt
	Führung eines Unternehmens
Lagen Portalverbund	Gerichtliche Entscheidungen (2140300)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	03.08.2022
Fachlich freigegeben durch	Ministry of Economic Affairs, Industry, Climate Protection and Energy of the State of North Rhine-Westphalia
Handlungsgrundlage	<p>§ Section 9 para. 1 sentence 2 no. 2 in conjunction with 7 para. 4 sentence 1 of the Money Laundering Act (GwG)</p> <p>https://www.gesetze-im-internet.de/gwg_2017/_9.html ;%20https://www.gesetze-im-internet.de/gwg_2017/_7.html</p> <p>https://www.gesetze-im-internet.de/gwg_2017/_9.html ;%20https://www.gesetze-im-internet.de/gwg_2017/_7.html</p>
Teaser	If you are obliged to appoint a group money laundering officer, you must notify the supervisory authority in advance. You must also notify the supervisory authority if you wish to remove ("disengage") a group money laundering officer.
Volltext	<p>If you are both an obliged entity and the parent company of a group in accordance with the German Money Laundering Act (GwG), you are obliged to appoint a group money laundering officer and a deputy.</p> <p>The supervisory authority must be notified in advance of the appointment and dismissal of the group money laundering officer and his/her deputy.</p> <p>The Group Money Laundering Officer is responsible for drawing up a uniform Group-wide strategy for the prevention of money laundering and terrorist financing and for coordinating and monitoring its implementation.</p> <p>The Group Money Laundering Officer **does not</p>

Modul

Sachverhalt

replace** any money laundering officers that may be required at the companies belonging to the group, but performs an **additional function**.

The Group Money Laundering Officer shall establish binding cross-company procedures for the implementation of money laundering obligations in the branches, subsidiaries and group companies in Germany and abroad. He/she is authorized to issue instructions for their implementation.

As part of his/her duties, the Group Money Laundering Officer must keep himself/herself continuously informed about compliance with money laundering obligations at the branches, subsidiaries and group companies in Austria and abroad. Furthermore, he/she must ensure at regular intervals - also through on-site visits - in particular that the obligations under money laundering law are being complied with and that the necessary measures are being taken and effectively implemented. If necessary, he/she must also take cross-company measures.

The parent company must ensure that the group money laundering officer or employees appointed by him/her are authorized to obtain audit reports, if available, in relation to all group branches, subsidiaries and group companies in Germany and abroad. This authority also includes the unrestricted right to carry out spot checks as part of the aforementioned tasks. The parent company must also ensure that the Group Money Laundering Officer, the employees appointed by him/her and the Group Internal Audit department have group-wide access to all information, documents and files relevant to the fulfillment of money laundering obligations, in particular regarding all customers, beneficial owners and all business relationships and transactions within or outside such business relationships.

The Group Money Laundering Officer must take precautions to protect personal data.

Erforderliche Unterlagen

- **Notification of the appointment or dismissal of a Group Money Laundering Officer and his/her deputy**

Modul

Sachverhalt

- ****Proof of authorization to report****
- ****Current excerpt from the commercial register, if applicable****
 - Proof of appointment as group money laundering officer or
 - Proof that the applicant is a member of the company's management (e.g. extract from the commercial register or shareholders' agreement)

Registered companies should submit a current excerpt from the commercial register when submitting their application. Legal entities being established (GmbH, AG) submit the articles of association or articles of incorporation.

- The supervisory authority reserves the right to request information on the qualifications of the group anti-money laundering officer (e.g. overview of professional career, proof of participation in anti-money laundering training courses, etc.) and his/her reliability (e.g. in the form of information from the Federal Central Register or, if applicable, from the Central Trade Register).

Voraussetzungen

****Obligated parties under the Money Laundering Act****

Only natural or legal persons who are obligated parties under the Money Laundering Act are obliged to report.

****Personal reliability and qualifications****

The future money laundering officer and his/her deputy must have the necessary personal reliability and professional qualifications.

Kosten

none

Verfahrensablauf

- As an obliged entity, you notify the supervisory authority in advance of the appointment or dismissal of a group money laundering officer and his/her deputy for your company

Modul	Sachverhalt
	<ul style="list-style-type: none"> • Your notification will be reviewed by the competent authority • You will receive a final notification • If the person does not have the required qualifications or reliability, the appointment as group money laundering officer or deputy must be revoked at the request of the supervisory authority and a new person must be appointed
Bearbeitungsdauer	\\- not applicable, this is only an advertisement
Frist	\\- The notification of the group money laundering officer and/or deputy must be made prior to the appointment. There is no deadline, i.e. the notification can also be made at very short notice. The notification is intended to give the authority the opportunity to check the qualifications and reliability of the newly appointed group money laundering officer and/or deputy and, if necessary, to object to the appointment in a timely manner. \\- The supervisory authority must also be notified in advance of the dismissal ("disengagement") of the group anti-money laundering officer and/or deputy
weiterführende Informationen	https://www.bezreg-koeln.nrw.de/brk_internet/leistung/en/abteilung03/34/geldwaeschepraevention/pflichten/risikomanagement/merkblatt_risikomanagement.pdf
Hinweise	
Rechtsbehelf	<ul style="list-style-type: none"> • In the event of a request for dismissal by the authority (Section 9 para. 1 no. 2 in conjunction with Section 7 para. 4 sentence 2 GwG): <ul style="list-style-type: none"> • Objection • Action for annulment
Kurztext	<ul style="list-style-type: none"> • Appointing or dismissing a group money laundering officer ("disengaging") <ul style="list-style-type: none"> • Under certain conditions, obliged entities under the Money Laundering Act (GwG) are obliged to appoint a group money laundering officer and a deputy. • The appointment and removal of the group money laundering officer and his/her deputy must be reported to the supervisory authority.
Ansprechpunkt	

Modul	Sachverhalt
Zuständige Stelle	
Formulare	<ul style="list-style-type: none"> • Forms: yes • Online procedure possible: yes • Written form required: no • Personal appearance required: no
Ursprungsportal	Appointment of a Group Money Laundering Officer Acceptance, Bestellung eines Gruppen-Geldwäschebeauftragten Entgegennahme, Gruppen-Geldwäschebeauftragte oder Gruppen-Geldwäschebeauftragten bestellen oder abberufen („entpflichten“), Bestellung eines Gruppen-Geldwäschebeauftragten Entgegennahme