

99020018007000, 99020018007000

# Applying for approval of a main operating plan for mining

Heruntergeladen am 20.06.2025

<https://fimportal.de/xzufi-services/394175216/L100001>

Modul	Sachverhalt
Leistungsschlüssel	99020018007000, 99020018007000
Leistungsbezeichnung I	Applying for approval of a main operating plan for mining
Leistungsbezeichnung II	
Typisierung	3 - Bundesaufsichtsverwaltung: Regelung
Quellredaktion	Hessen
Freigabestatus Katalog	unbestimmter Freigabestatus
Freigabestatus Bibliothek	fachlich freigegeben (silber)
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Bodenschutz (020)
Verrichtungskennung	Zulassung (007)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	Tier-, Pflanzen- und Naturschutz (2130200),

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	Bauplanung (2050400)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	12.12.2023
Fachlich freigegeben durch	Hessian Ministry for the Environment, Climate Protection, Agriculture and Consumer Protection (HMuKLV)
Handlungsgrundlage	<a href="https://www.gesetze-im-internet.de/bbergg/_51.html">https://www.gesetze-im-internet.de/bbergg/_51.html</a> <a href="https://www.gesetze-im-internet.de/bbergg/_52.html">https://www.gesetze-im-internet.de/bbergg/_52.html</a> <a href="https://www.gesetze-im-internet.de/bbergg/_52.html">https://www.gesetze-im-internet.de/bbergg/_52.html</a> <a href="https://www.gesetze-im-internet.de/bbergg/_55.html">https://www.gesetze-im-internet.de/bbergg/_55.html</a> <a href="https://www.gesetze-im-internet.de/bbergg/_51.html">https://www.gesetze-im-internet.de/bbergg/_51.html</a> <a href="https://www.gesetze-im-internet.de/bbergg/_52.html">https://www.gesetze-im-internet.de/bbergg/_52.html</a> <a href="https://www.gesetze-im-internet.de/bbergg/_52.html">https://www.gesetze-im-internet.de/bbergg/_52.html</a> <a href="https://www.gesetze-im-internet.de/bbergg/_55.html">https://www.gesetze-im-internet.de/bbergg/_55.html</a>
Teaser	If your company wants to explore, extract and process certain mineral resources, you must draw up a main operating plan, among other things. You must apply to the competent authority for approval of this main operating plan.
Volltext	<p>In order to set up and run an exploration, extraction or processing operation, you as an entrepreneur need an approved main operating plan. An operating plan is used to monitor operations. It generally includes comprehensive explanations and planning documents for planned mining measures, for example with regard to</p> <ul style="list-style-type: none"> <li>• Location and extent,</li> <li>• technical implementation</li> <li>• scheduling,</li> <li>• possible effects on people and the environment,</li> <li>• measures to avoid and minimize negative impacts on the environment,</li> <li>• operational and occupational safety.</li> </ul> <p>The license allows you to explore, extract and process mineral resources that are subject to the Federal Mining Act. These include energy resources such as hard coal and lignite or crude oil and natural gas, but also metals, salts, geothermal energy and lithium.</p>

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### Erforderliche Unterlagen

You can clarify which documents are required in your case together with your responsible mining authority. The following documents are generally required:

- Information about the applicant company
- General overview of the company and the planned project
- general information on the expected development of the operation, expected target,
- proof of ownership of the land on which the mineral resources are to be explored or extracted, or
- the authorization to explore and extract non-mining mineral resources, i.e:
  - a permit for exploration or
  - a permit for the extraction of a non-mining mineral resource
- proof of permission under water law, if applicable,
- a comprehensive description of the site situation, including the distance to protected areas and existing infrastructure
- geological reports
- hydrogeological reports
- Nature conservation contributions
- technical concept for operational management, for example planned boreholes (start/finish coordinates, ownership structure)
- Technical descriptions of the required facilities, including for drilling, machinery and processing
- Information on operational safety (from shut-off to time records) and operational monitoring
- Proof of proper waste disposal and access routes (fulfillment of all relevant requirements)
- Description and assessment of possible impacts on the environment and planned measures to avoid and reduce them, nature conservation contributions, noise reports
- Water management measures
- Measures to provide for the reutilization of the surface
- Measures for immission control
- Description of the operating facilities, such as offices and social rooms, parking spaces, storage areas, workshops, filling stations
- Details of responsible persons
- Certificate of guarantee, if applicable

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### Voraussetzungen

Certain requirements must be met before you can obtain approval for your main operating plan:

- Your project must not conflict with any overriding public interests.
- You must be able to prove that you have the officially issued authorization for the exploration or extraction of non-mining mineral resources or the rights over natural mineral resources.
- You must be able to prove that your company and your managerial staff or representatives have the necessary reliability as well as the required expertise and physical fitness.
- You must take all necessary safety measures to prevent risks to the life and health of employees and third parties in the company. These measures must also ensure the protection of material assets.
- Your work must not impair other mineral resources whose protection is in the public interest.
- The earth's surface must be protected in the interests of personal safety and public traffic.
- The waste produced must be properly reused or disposed of.
  - the surfaces can subsequently be made usable again to the extent required by the circumstances,
  - the safety of other mining operations is not jeopardized,
  - the search for or extraction of mineral resources does not result in any harmful consequences for the general public and, in the case of mining operations in the area of the continental shelf or coastal waters:
    - shipping facilities and signs are not impaired and
    - shipping and shipping lanes, airspace, fishing and flora and fauna are not unreasonably impaired
    - underwater cables and pipelines as well as oceanographic or other scientific research are not impaired more than is unavoidable under the circumstances and
    - the harmful effects on the sea are kept to a minimum
- You must take precautions to ensure that
- You may be required to provide the competent authority with evidence of a security deposit with an appropriate sum insured, a bank guarantee, letter of comfort or similar covering the above risks.

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### Kosten

### Verfahrensablauf

You can apply for approval of your main operating plan online via the "BergPass" platform (if available in the relevant federal state) or directly from your competent mining authority:

- Call up the "BergPass" online platform and log in.
- To apply, you will need a BundID and an identity card or residence permit with an active online ID function.
- Upload the required documents as a file.

Apply directly to the competent mining authority for approval:

- You must prepare your main operating plan in such a way that both the approval requirements and other concerns such as groundwater and nature conservation are comprehensively described. For complex projects, it is advisable to contact your competent authority and coordinate the required application documents.
- Submit the application and all necessary documents to the responsible authority.

Further procedural steps:

- The competent authority will check your application and the documents submitted for completeness. If any documents are missing, the authority will contact you.
- The mining authority decides on the approval.
- You will receive a notification electronically and by post informing you of the decision on your application.
- The approval may contain ancillary provisions (requirements, conditions, time limits), which you must comply with accordingly and which will be reviewed by the mining authority.

You will also receive a notice of costs. Pay the fees.

### Bearbeitungsdauer

#### Frist

1 Monat(e)

You may only start your planned mining work once you have received approval for your main operating plan. As a rule, operating plans are approved for a limited period of time. If long-term planning is not possible, it may be necessary to submit a new main operating plan at short intervals, for example every 2 years. The main operating plan can be drawn up for a period of up to 8 years. Depending on the individual case, approval is

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initially granted for up to 4 years. Further extensions must be applied for separately in good time.

## weiterführende Informationen

### Hinweise

The period described in your main operating plan usually covers several years. The responsible mining authority decides on the duration on a case-by-case basis. An important criterion here is the foreseeability of the operating plan. In practice, the term can be extended by a few years without a new main operating plan having to be drawn up and comprehensively reviewed in a mining law procedure.

- are not planning to deepen the surface,
- do not use mechanical power,
- do not carry out any work underground and
- do not use explosive substances or explosive substances intended for blasting.

If your operation is of low hazard or significance, you can be exempted from the obligation to plan operations if

- the protection of your employees and third parties and
- the reutilization of the surface is ensured, and
- your project is not located on the continental shelf.

If you wish to explore, extract and process mineral resources together with other companies, the competent authority may require you to draw up a joint operating plan.

### Rechtsbehelf

- Objection: Please refer to your notification for information on how to lodge an objection.
- Administrative court action

### Kurztext

- Mining operating plan procedure Main operating plan approval
- An approved main operating plan is a prerequisite for the establishment and management of mining exploration, extraction or processing operations that are subject to the Federal Mining Act
- Main operating plan comprehensively describes the planned mining measures for the extraction or processing of mineral resources
- The main operating plan is usually drawn up for several years.

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	<ul style="list-style-type: none"> <li>• online portal "Bergpass" (in preparation)</li> <li>• or directly to the responsible mining authority</li> <li>• Application possible via</li> <li>• Responsible: Mining department of the regional council responsible for the administrative district</li> </ul>
Ansprechpunkt	
Zuständige Stelle	The mining department of the regional council responsible for the administrative district is responsible.
Formulare	
Ursprungsportal	Applying for approval of a main operating plan for mining, Zulassung eines Hauptbetriebsplans für Bergbau beantragen