

99142047006000

Auswahl oder Wechsel der Verwahrstelle Genehmigung

Heruntergeladen am 21.05.2025

<https://fimportal.de/xzufi-services/102806761/B100019>

Modul	Sachverhalt
Leistungsschlüssel	99142047006000
Leistungsbezeichnung I	Auswahl oder Wechsel der Verwahrstelle Genehmigung
Leistungsbezeichnung II	Have election and change of depositaries approved
Typisierung	1 - Bund: Regelung und Vollzug
Quellredaktion	Bund
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	
Verrichtungskennung	Genehmigung (6)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	Erlaubnisse und Genehmigungen (2010400)

Modul	Sachverhalt
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	05.07.2023
Fachlich freigegeben durch	Federal Ministry of Finance (BMF)
Handlungsgrundlage	https://www.gesetze-im-internet.de/kagb/_69.html https://www.gesetze-im-internet.de/kagb/_80.html
Teaser	As a capital management company, you must generally have every choice and every change to a new depositary approved by the German Federal Financial Supervisory Authority (BaFin).
Volltext	Custodians serve to hold the assets of investment funds in safe custody. As a capital management company, you are free to choose which depositaries you use for your services, provided the depositary meets the legal requirements. However, you must generally have each choice and change of a new depositary approved by the German Federal Financial Supervisory Authority (BaFin). In addition, BaFin may impose a change of depositary on you if the depositary does not properly fulfill its legal and contractual obligations or its initial capital falls below the prescribed minimum level.
Erforderliche Unterlagen	• Notification of selection or change of depositary • Attach to the notification a list of the investment assets to be managed, including details of the new depositary. • In case of appointment of a depositary for a UCITS investment fund with which a group link exists, attach a report relating to the duties of the depositaries. • Unless the institution or branch in question is already acting as depositary for investment assets of the same type with the approval of BaFin, or at the request of BaFin, enclose the following documents: • Curricula vitae of the management responsible for the depositary functions, unless these are already available to BaFin and are not older than 1 year • Business plan • Description of the organizational arrangements including the personnel structure and equipment

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	• Depositary agreement, if applicable in the final negotiated version • confirmation of the branch office about the audit, if applicable
Voraussetzungen	You want to launch a new investment fund or change the depositary for an existing investment fund.
Kosten	Gebühr: 302€ If the depositary or the trustee has already been the subject of an approval or audit: 302.00 euros If the depositary or the trustee has not yet been the subject of an approval or audit: fee according to time spent
Verfahrensablauf	If you wish to apply for election or change to a new depositary, use BaFin's notification and publication platform (MVP) online for this purpose: • To do so, log in to the MVP with your access data. • If you do not have access yet: First register on the MVP. • Select the specialist procedure "Notification procedure KAGB - funds". • Please note that you must be registered for the specialist procedure. If you have not yet registered, you can do so in the "Specialized procedure" section ("Apply for specialized procedure"). • Select "Submit notification" and complete the online application. • After activation, upload the required documents. Submit all documents exclusively via the MVP. • After successful transmission of the documents, you will receive an electronic confirmation of receipt from BaFin. • BaFin will review your request. • If the documents are complete and the choice or change of depositary complies with the legal requirements, you will receive written approval from BaFin.
Bearbeitungsdauer	2 - 4 Woche(n) Processing usually takes 2 to 4 weeks if the institution in question already acts as a depositary for investment assets of the same type.
Frist	You must have approval before you hire the custodian.

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weiterführende Informationen	https://www.bafin.de/SharedDocs/Downloads/DE/Rundschreiben/dl_rs_verwahrstellenrundschreiben_wa.html
Hinweise	There are no clues or specifics.
Rechtsbehelf	• Appeal. • Detailed information on how to file an appeal can be found in the notice of your application. • In the absence of remedy, administrative court action
Kurztext	• Selection or change of depositary Approval • Selection and change of depositary must be approved in advance by the German Federal Financial Supervisory Authority (BaFin) • Application via the portal of the reporting and publication platform (MVP) of BaFin required • Responsible: Federal Financial Supervisory Authority
Ansprechpunkt	
Zuständige Stelle	
Formulare	
Ursprungsportal	Auswahl oder Wechsel der Verwahrstelle Genehmigung, Auswahl oder Wechsel der Verwahrstelle Genehmigung