

99142045006000

Übertragung der Verwaltung eines offenen inländischen Sondervermögens Genehmigung

Heruntergeladen am 10.07.2025

<https://fimportal.de/xzufi-services/102806757/B100019>

Modul	Sachverhalt
Leistungsschlüssel	99142045006000
Leistungsbezeichnung I	Übertragung der Verwaltung eines offenen inländischen Sondervermögens Genehmigung
Leistungsbezeichnung II	Obtain authorization to transfer the management of a domestic investment fund
Typisierung	1 - Bund: Regelung und Vollzug
Quellredaktion	Bund
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	
Verrichtungskennung	Genehmigung (6)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder

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	Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	18.08.2023
Fachlich freigegeben durch	Federal Ministry of Finance (BMF)
Handlungsgrundlage	https://www.gesetze-im-internet.de/kagb/__99.html https://www.gesetze-im-internet.de/kagb/__100b.html
Teaser	You need approval from the German Federal Financial Supervisory Authority (BaFin) to transfer the management of an investment fund to another capital management company.
Volltext	As a capital management company (KVG), you can terminate your right to manage an investment fund. In addition, the right may expire, for example in the event of insolvency. In this case, either the investment asset or the right to manage this investment asset is transferred to a depositary. The depositary, a special credit institution or securities firm, then winds up the investment assets and distributes them to the investors. If you wish to transfer the right to manage the investment fund to another capital management company instead, you will need approval from the German Federal Financial Supervisory Authority (BaFin). Approval requires an application that you submit via BaFin's reporting and publication platform (MVP Portal).
Erforderliche Unterlagen	Transfer of the management right for an investment fund to another KVG: • Application by the managing KVG or • Application by the depositary of the investment fund if it wishes to refrain from liquidating the investment fund and transfer the investment fund to another KVG
Voraussetzungen	Transfer of the management right for an investment

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	fund to another KVG: • The investment fund is owned by the KVG. • The investment assets are co-owned by the investors, but the KVG has the right of management and disposal over them. • The receiving KVG has a license to manage investment assets.
Kosten	Verwaltungsgebühr: 1.025€
Verfahrensablauf	You must apply for approval to transfer the management right for an investment fund to another KVG via BaFin's MVP Portal before the transfer: • To do so, log in to the MVP Portal with your access data. If you do not have access yet: First register on the MVP Portal. • Select the specialist procedure "Notification procedure KAGB - funds". Please note that you must be registered for the specialist procedure. If you have not yet registered, you can do so in the "Specialized procedure" section ("Apply for specialized procedure"). • Select "Submit notification" and complete the application for approval of transfer to another investment management company online. • Compile all required documents. • Send these documents to BaFin electronically via the MVP portal only. • BaFin will check your application to ensure that all transfer requirements have been met and will grant you approval via the MVP Portal in the form of a PDF document, provided you meet all requirements. • You must then announce the transfer in the Bundesanzeiger (Federal Gazette) and in the annual or semi-annual report and the electronic medium specified in the sales prospectus. • You may only make the announcement once you have received BaFin's approval.
Bearbeitungsdauer	The processing of an authorization to transfer the management right to another capital management company takes a maximum of 8 weeks if all legal requirements are met.
Frist	There is no time limit. The application must be

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	submitted to the competent body before the transfer.
weiterführende Informationen	https://www.bafin.de/DE/Aufsicht/KVGenInvestmentfonds/kvg_investmentfonds_node.html
Hinweise	There are no clues or specifics.
Rechtsbehelf	• Appeal: Detailed information on how to file an appeal can be found in the notice of your application. • Action before the administrative court if your objection is rejected.
Kurztext	• Transfer of the management of an open-ended domestic investment fund Approval • Approval from the German Federal Financial Supervisory Authority (BaFin) required for the transfer of the management right for an investment fund to another capital management company • Application for approval to BaFin required via the reporting and publication platform (MVP portal) • Responsible: Federal Financial Supervisory Authority
Ansprechpunkt	
Zuständige Stelle	
Formulare	
Ursprungsportal	Übertragung der Verwaltung eines offenen inländischen Sondervermögens Genehmigung, Übertragung der Verwaltung eines offenen inländischen Sondervermögens Genehmigung