

99142044012000

Vertriebsbescheinigung (§§ 312 Abs. 6 und 335 KAGB) Ausstellung

Heruntergeladen am 08.07.2025

<https://fimportal.de/xzufi-services/102806755/B100019>

Modul	Sachverhalt
Leistungsschlüssel	99142044012000
Leistungsbezeichnung I	Vertriebsbescheinigung (§§ 312 Abs. 6 und 335 KAGB) Ausstellung
Leistungsbezeichnung II	Apply for a certificate for the distribution of certain funds
Typisierung	1 - Bund: Regelung und Vollzug
Quellredaktion	Bund
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	
Verrichtungskennung	Ausstellung (12)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens

Modul	Sachverhalt
Lagen Portalverbund	Erlaubnisse und Genehmigungen (2010400)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	18.08.2023
Fachlich freigegeben durch	Federal Ministry of Finance (BMF)
Handlungsgrundlage	https://www.gesetze-im-internet.de/kagb/__312.html https://www.gesetze-im-internet.de/kagb/__335.html
Teaser	As an Undertaking for Collective Investment in Transferable Securities- Capital Management Company or Alternative Investment Fund Capital Management Company, you can apply for a distribution certificate from the German Federal Financial Supervisory Authority (BaFin).
Volltext	BaFin can issue a certificate to you as a domestic capital management company or EU management company upon request. This confirms that you comply with the regulations of the relevant directives, related to a • domestic undertaking for collective investment in transferable securities (UCITS) or • domestic alternative investment fund (AIF).
Erforderliche Unterlagen	• informal application
Voraussetzungen	• They manage domestic undertakings for collective investment in transferable securities (UCITS) or domestic alternative investment funds (AIF).
Kosten	Gebühr: 205€ You will incur costs of EUR 205.00 (for umbrella structures per sub-investment fund).
Verfahrensablauf	• Formulate an informal application. • Register on the reporting and publication platform (MVP Portal). • Log in to the MVP Portal with your access data. • Send your application to BaFin via the MVP Portal. • You will receive the sales certificate after verification.
Bearbeitungsdauer	2 Woche(n)

Modul	Sachverhalt
	The processing of the application usually takes about 2 weeks.
Frist	There is no deadline.
weiterführende Informationen	https://www.bafin.de/DE/Aufsicht/KVGenInvestmentfonds/kvg_investmentfonds_node.html
Hinweise	There are no clues or specifics.
Rechtsbehelf	• Appeal Detailed information on how to file an appeal can be found in the notification of your application. • Action before the administrative court if your objection is rejected.
Kurztext	• Distribution Certificate (Sections 312 (6) and 335 KAGB) Issuance • Distribution certificates are issued upon application • Confirmation that the provisions of the relevant directives have been complied with, relating to a domestic undertaking for collective investment in transferable securities (UCITS) or domestic alternative investment fund (AIF) • Application via the Reporting and Publication Platform (MVP Portal) of the German Federal Financial Supervisory Authority (BaFin) • responsible: Federal Financial Supervisory Authority (BaFin).
Ansprechpunkt	
Zuständige Stelle	
Formulare	Forms: none Online procedure possible: yes Written form required: no Personal appearance required: no
Ursprungsportal	Vertriebsbescheinigung (§§ 312 Abs. 6 und 335 KAGB) Ausstellung, Vertriebsbescheinigung (§§ 312 Abs. 6 und 335 KAGB) Ausstellung