99089120023000

Einholen von Informationen der Verpflichteten durch die FIU Auskunft

Modul	Sachverhalt
Leistungsschlüssel	99089120023000
Leistungsbezeichnung I	Einholen von Informationen der Verpflichteten durch die FIU Auskunft
Leistungsbezeichnung II	Providing information to the Financial Intelligence Unit (FIU)
Typisierung	1 - Bund: Regelung und Vollzug
Quellredaktion	Bund
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	
Verrichtungskennung	Auskunft (23)
SDG-Informationsbereich	nicht SDG-relevant

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Lagen Portalverbund	Gerichtliche Verfahren, Anzeige und Klage (1150200)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	24.06.2024
Fachlich freigegen durch	Federal Ministry of Finance (BMF)
Handlungsgrundlage	https://www.gesetze-im-internet.de/gwg_2017/__30.html https://www.gesetze-im-internet.de/gwg_2017/__2.html https://www.gesetze-im-internet.de/gwg_2017/__43.html https://www.gesetze-im-internet.de/gwg_2017/__47.html https://www.gesetze-im-internet.de/kredwg/__1.html
Teaser	If the Central Office for Financial Transaction Investigations (FIU) requests information from you, you must always provide this information.
Volltext	The Financial Intelligence Unit collects and investigates reports of suspicious financial transactions. The English name of the central office and the corresponding abbreviation are often used: Financial Intelligence Unit (FIU). The aim of the FIU is to prevent, detect and support the fight against money laundering and terrorist financing. For this purpose, the FIU may request information from you if you are an obliged entity or an obliged person, regardless of whether you have submitted a report. You are considered an obliged entity if you are active as an economic operator in Germany. As a rule, you must provide the FIU with the requested information. The information includes all information that is required to fulfill the task, which means that the information may concern you or third parties, for example your customers or other business contacts. In certain cases, you as the obligated party can refuse to provide information. This is the case, for example, if you are a

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	• lawyer, legal adviser, patent attorney or notary public, you are involved in the planning or execution of certain transactions for a client or provide advice or other services in certain areas of activity, or • are certified public accountants, chartered accountants, tax consultants or tax agents and • the request for information relates to information that you have received during the legal advice or legal representation of your contractual partner. However, the duty to provide information remains in force if you know that your contractual partner is using the legal advice for the purpose of money laundering or terrorist financing. If you are required to provide information about persons or other third parties, you may not inform these parties.
Erforderliche Unterlagen	You can find out which documents are required in your case in the request for information from the FIU Central Office.
Voraussetzungen	You are the obligor or obligated party. These include, among others: • Credit institutions • financial services institutions • Payment institutions and e-money institutions • (e-money) agents • insurance companies • Auditors, sworn auditors, tax consultants and tax agents • Real estate agents • Lawyers, legal advisors, patent attorneys and notaries public • Trust companies • Organizers and intermediaries of games of chance • Persons who trade commercially in goods. These include manufacturers, wholesalers and retailers • the Federal Financial Supervisory Authority • the supervisory authorities for the insurance industry

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Kosten	Abgabe: Es fallen keine Kosten an The FIU does not charge any costs. You must bear your own costs or expenses in connection with the provision of information.
Verfahrensablauf	You only have to act if the FIU requests you to provide information. This does not affect your obligation to submit a suspicious activity report. You will receive requests for information from the FIU • by post, by fax or • electronically via the mailbox function of the reporting portal of the Financial Intelligence Unit: goAML. As a rule, you must reply online: • Register with goAML if necessary. • To do so, you must, among other things: • designate a principal person responsible for money laundering in your organization and • provide proof of your identity and that of the person with primary responsibility. • Further information on registration can be found on the customs website. • Register with goAML. • Reply to the request for information via the mailbox function. • If necessary, you can also send requested documents via the mailbox. • The Central Office for Financial Transaction Investigations may ask you to submit further documents or provide additional information. Please always comply with this request. • You will receive a confirmation from the Financial Intelligence Unit.
Bearbeitungsdauer	
Frist	The Financial Intelligence Unit will grant you a reasonable period of time to respond to the request for information and will inform you of this within the

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	scope of the request.
weiterführende Informationen	https://www.zoll.de/DE/FIU/fiu_node.html https://www.zoll.de/DE/FIU/Fachliche-Informationen/Registrierung/registrierung_node.html
Hinweise	
Rechtsbehelf	• objection • Administrative court action
Kurztext	• Obtaining information from obliged entities by the FIU Information • Central Financial Transaction Investigation Unit (FIU) takes action against money laundering and terrorist financing • The FIU is entitled to obtain information from the obliged entities in order to fulfill its tasks. They must provide the requested information. The obliged entities include, among others: • Credit institutions • Financial services institutions • Payment institutions and e-money institutions • (e-money) agents • Insurance companies • Auditors, sworn auditors, tax consultants and tax agents • Real estate agents • Lawyers, legal advisors, patent attorneys and notaries public • Trust companies • Organizers and intermediaries of games of chance • Persons who trade commercially in goods, i.e. manufacturers, as well as wholesalers and retailers • the Federal Financial Supervisory Authority • the supervisory authorities for the insurance industry • responsible: Central Office for Financial Transaction Investigations (Financial Intelligence Unit, FIU)
Ansprechpunkt	
Zuständige Stelle	
Formulare	Forms: none

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	Online procedure possible: yes Written form required: no Personal appearance required: no
Ursprungsportal	Einholen von Informationen der Verpflichteten durch die FIU Auskunft, Einholen von Informationen der Verpflichteten durch die FIU Auskunft