

99089151261001

Heruntergeladen am 25.05.2025

<https://fimportal.de/services/99089151261001>

Modul	Sachverhalt
Leistungsschlüssel	99089151261001
Leistungsbezeichnung I	
Leistungsbezeichnung II	Appointment or dismissal of group money laundering officers in the gambling sector
Typisierung	3 - Bundesaufsichtsverwaltung: Regelung
Quellredaktion	Baustein Leistungen
Freigabestatus Katalog	unbestimmter Freigabestatus
Freigabestatus Bibliothek	fachlich freigegeben (silber)
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Security and order (individuell, 089)
Verrichtungskennung	Entgegennahme (261)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	
Einheitlicher	

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Ansprechpartner	Nein
Fachlich freigegeben am	23.07.2024
Fachlich freigegeben durch	Ministry of the Interior of the State of North Rhine-Westphalia (IM NRW)
Handlungsgrundlage	https://www.gesetze-im-internet.de/gwg_2017/_9.html https://www.gesetze-im-internet.de/gwg_2017/_7.html
Teaser	<p>If you are obliged to appoint a group money laundering officer, you must notify the supervisory authority in advance. You must also notify the supervisory authority if you wish to remove ("disengage") a group money laundering officer.</p>
Volltext	<p>If you are both an obliged entity and the parent company of a group in accordance with the German Money Laundering Act (GwG), you are obliged to appoint a group money laundering officer and a deputy. The supervisory authority must be notified in advance of the appointment and dismissal of the group anti-money laundering officer and his deputy. The anti-money laundering officer is responsible for drawing up a uniform group-wide strategy for the prevention of money laundering and terrorist financing and for coordinating and monitoring its implementation. The Group Money Laundering Officer does not replace any money laundering officers that may be required at the companies belonging to the group, but performs an additional function. The Group Money Laundering Officer must create binding cross-company procedures for the implementation of money laundering obligations in the branches, subsidiaries and group companies in Germany and abroad. He is authorized to issue instructions for their implementation. As part of his duties, the Group Money Laundering Officer must obtain information on an ongoing basis from the branches, subsidiaries and group companies in Germany and abroad about their compliance with money laundering obligations. Furthermore, he must satisfy himself at regular intervals - also through on-site visits - in particular that the obligations under money laundering law are being complied with and</p>

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that the necessary measures are being taken and effectively implemented. If necessary, he must also take cross-company measures. The parent company must ensure that the Group Money Laundering Officer or employees appointed by him are authorized to obtain audit reports, if available, for all branches, subsidiaries and group companies in Germany and abroad. This authority also includes the unrestricted right to carry out spot checks as part of the aforementioned tasks. The parent company must also ensure that the Group Money Laundering Officer, the employees commissioned by the Group Money Laundering Officer and Group Internal Audit have group-wide access to all information, documents and files relevant to the fulfillment of money laundering obligations, in particular regarding all customers, beneficial owners and all business relationships and transactions within or outside such business relationships. The Group Money Laundering Officer must take precautions to protect personal data.

Erforderliche Unterlagen

- ****Notification of the appointment or dismissal of a Group Money Laundering Officer and his/her deputy****

- ****Proof of authorization to report****
 - Proof of appointment as a group money laundering officer or
 - Proof that the applicant is a member of the company's management (e.g. extract from the commercial register or shareholders' agreement)

- ****If applicable, a current excerpt from the commercial register****
 Registered companies should submit a current excerpt from the commercial register with their application. Legal entities in the process of being founded (GmbH, AG) submit the articles of association or articles of incorporation.

- The supervisory authority reserves the right to request information on the qualifications of the group money laundering officer (e.g. overview of professional career, proof of participation in money laundering training events, etc.) and his/her reliability (e.g. in the

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	form of information from the Federal Central Register or, if applicable, from the Central Trade Register).
Voraussetzungen	<p>**Obliged parties under the Money Laundering Act**</p> <p>Only natural or legal persons who are obliged parties under the Money Laundering Act are obliged to report.</p> <p>**Personal reliability and qualifications**</p> <p>The future money laundering officer and his/her deputy must have the necessary personal reliability and professional qualifications.</p>
Kosten	Is based on the respective administrative fee schedule of the federal state or on the fee statutes of the authorities responsible under federal state law.
Verfahrensablauf	<ul style="list-style-type: none"> • As an obliged entity, you notify the supervisory authority in advance of the appointment or dismissal of a group money laundering officer and his/her deputy for your company <ul style="list-style-type: none"> • Your notification will be reviewed by the competent authority • You will receive a final notification • If the person does not have the required qualifications or reliability, the appointment as group money laundering officer or deputy must be revoked at the request of the supervisory authority and a new person must be appointed
Bearbeitungsdauer	not applicable, this is only an advertisement
Frist	<ul style="list-style-type: none"> • The notification of the group money laundering officer and/or deputy must be made prior to the appointment. There is no deadline, i.e. the notification can also be made at very short notice. The notification is intended to give the authority the opportunity to check the qualifications and reliability of the newly appointed group money laundering officer and/or deputy and, if necessary, to object to the appointment in a timely manner. • The supervisory authority must also be notified in advance of the dismissal ("disengagement") of the group anti-money laundering officer and/or deputy

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weiterführende Informationen	
Hinweise	
Rechtsbehelf	<ul style="list-style-type: none"> • Legal action before the administrative court • Appeal (depending on the federal state)
Kurztext	<p>\- Appointment of a group money laundering officer Acceptance in the gaming sector</p> <p>\- Under certain conditions, obliged entities under the Money Laundering Act (GwG) are required to appoint group money laundering officers and a deputy.</p> <p>\- The appointment and dismissal of the group money laundering officer and his/her deputy must be reported to the supervisory authority.</p> <p>\- Competent authority: Depends on the respective state law</p>
Ansprechpunkt	
Zuständige Stelle	
Formulare	<p>Forms available: Yes</p> <p>Written form required: No</p> <p>Informal application possible: No</p> <p>Personal appearance necessary: No</p>
Ursprungsportal	