

99089051010003

Notification of suspected money laundering or terrorist financing Exemption from the obligation to appoint a money laundering officer in the gambling sector

Heruntergeladen am 25.06.2025

<https://fimportal.de/services/99089051010003>

Modul	Sachverhalt
Leistungsschlüssel	99089051010003
Leistungsbezeichnung I	Notification of suspected money laundering or terrorist financing Exemption from the obligation to appoint a money laundering officer in the gambling sector
Leistungsbezeichnung II	Applying for exemption from the obligation to appoint a money laundering officer in the gambling sector
Typisierung	3 - Bundesaufsichtsverwaltung: Regelung
Quellredaktion	Baustein Leistungen
Freigabestatus Katalog	unbestimmter Freigabestatus
Freigabestatus Bibliothek	fachlich freigegeben (silber)
Begriffe im Kontext	

Modul	Sachverhalt
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Security and order (individuell, 089)
Verrichtungskennung	Befreiung (010)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	Anmeldepflichten (2010100), Erlaubnisse und Genehmigungen (2010400)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	23.07.2024
Fachlich freigegeben durch	Ministry of the Interior of the State of North Rhine-Westphalia (IM NRW)
Handlungsgrundlage	https://www.gesetze-im-internet.de/gwg_2017/_7.html
Teaser	Upon request, the supervisory authority can exempt you from the obligation to appoint an anti-money laundering officer under certain conditions.
Volltext	<p>As a financial company and as an organizer or broker of games of chance, you are obliged to appoint an anti-money laundering officer and a deputy.</p> <p>In some federal states, goods traders who trade in high-value goods may be obliged by general decree to appoint an anti-money laundering officer.</p> <p>Under certain conditions, they can be exempted from the obligation to appoint an anti-money laundering officer upon application. It must be ensured that all obligations specified in the GWG are complied with even without an anti-money laundering officer.</p> <p>This includes that you, as an obligated party under the Money Laundering Act, prove that</p> <ul style="list-style-type: none"> • all relevant areas of your company are provided with the necessary information to prevent money

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laundering and that there is no risk of information being lost, especially in the case of a division of labor structure

- following a risk-based assessment, other precautions are taken to prevent business relationships and transactions related to money laundering or terrorist financing.

Both requirements must be met.

Erforderliche Unterlagen

Application for exemption from the obligation to appoint an anti-money laundering officer

- The application must demonstrate in a comprehensible and justified manner that all obligations specified in the AMLA will be complied with even without an anti-money laundering officer.
- Proof of eligibility to apply Proof that the person submitting the application is a member of the company's management (e.g. extract from the commercial register or shareholders' agreement).
- Risk analysis
- Assessment of the individual company, customer, product and transaction risk;
- Presentation of the internal security measures derived from the risk analysis which make the appointment of a money laundering officer unnecessary.
- Current excerpt from the commercial register, if applicable

Registered companies should submit a current excerpt from the commercial register with their application. Legal entities in the process of being established (GmbH, AG) must submit the articles of association .

Voraussetzungen

- Obligated parties under the Money Laundering Act

Only natural or legal persons who are obliged by law or by order of the supervisory authority to appoint an anti-money laundering officer are eligible to apply.

- Clear internal communication

The flow of information on the topic of money

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	<p>laundrying prevention within the company must be guaranteed. Staff must be adequately informed, instructed and monitored.</p> <ul style="list-style-type: none"> • Other security measures <p>Other precautions must be taken to prevent business relationships and transactions that may be associated with money laundering or terrorist financing.</p>
Kosten	Verwaltungsgebühr: 50€ - 800€
Verfahrensablauf	<ul style="list-style-type: none"> • The obliged entity shall apply to the competent supervisory authority for exemption from the obligation to appoint an anti-money laundering officer. • The application is reviewed by the competent authority. • Once the procedure has been completed, the obliged entity receives a decision.
Bearbeitungsdauer	
Frist	
weiterführende Informationen	
Hinweise	There are no indications or special features.
Rechtsbehelf	<ul style="list-style-type: none"> • Legal action before the administrative court • Appeal (depending on the federal state)
Kurztext	<ul style="list-style-type: none"> • Notification of suspicion of money laundering or terrorist financing. Exemption from the obligation to appoint a money laundering officer in the gaming sector. • Under certain conditions, obliged entities under the Money Laundering Act must appoint an anti-money laundering officer and a deputy. Under certain conditions, obliged entities may be exempted from the obligation to appoint an anti-money laundering officer upon request. It must be ensured that all obligations specified in the AMLA are complied with even without an anti-money laundering officer. • Competent authority: Depends on the respective state law.

Modul	Sachverhalt
Ansprechpunkt	
Zuständige Stelle	
Formulare	<p>Forms available: Yes</p> <p>Written form required: No</p> <p>Informal application possible: No</p> <p>Personal appearance necessary: No</p>
Ursprungsportal	